U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE FORM PTO-1390) ATTORNEY'S DOCKET NUMBER (REV. 10-2003) 396.45660X00 TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CFR 1.5) **CONCERNING A FILING UNDER 35 U.S.C. 371** INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. 4 June 2003 31 May 2004 PCT/JP2004/007851 TITLE OF INVENTION Method for Producing Optically Active Chroman-Carboxylate APPLICANT(S) FOR DO/EO/US Youichi KYUUKO et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 1. 🖂 This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 2. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must 3. □ include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). 4. 🔯 A copy of the International Application as filed (35 U.S.C. 371(c)(2))) 5. a. is attached hereto (required only if not communicated by the International Bureau). b. \square has been communicated by the International Bureau. c. is not required, as the application was filed in the United States Receiving Office(RO/US) An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). 6. 🛛 is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) 7. 🔲 a. \square are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. d. Thave not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8. 9. 🔯 An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 11. 🔲 An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. 🔯 13. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. 14. 15. 🔲 A substitute specification. 16. 🔲 A power of attorney and/or address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.

A second copy of the published international application under 35 U.S.C. 154(d)(4).

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

Other items or information: Request for Examination and Search Form and Credit Card Payment Form.

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IAPO Rec'd PCT/PTO 05 DEC 2005

U.S. APPLICATION NOTIFIED COR TO TO A						ATTORNEY'S DOCKET NUI 39645660X00	ATTORNEY'S DOCKET NUMBER 39645660X00	
The following fees have been submitted:							PTO USE ONLY	
21. 🛛	Basic nation	onal f	îee	\$	\$300			
22. 🛛		ional of PC	preliminary examination reports Article 33(1)-(4)	\$200.00 0				
23. Search fee Search fee (37 CFR 1.445(a)(2) has paid on the international application to the USPTO as an International Searching Authority International Search Report prepared and provided to the Office All other situations \$500								
TOTAL OF 21, 22 and 23 =						\$1000.00		
	Additional listing or co additional 5	mput	S					
Total Sheets Extra Sheets Number of each additional 50 or fraction thereof (round up to a whole number) - 100 =						0		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).						s		
				NUMBER EXTRA	RATE			
Total C			12 - 20 =	0	x \$50.00	\$0.00		
			1 - 3 =	0	x \$200.00	\$0.00		
MULTIPLE DEPENDENT CLAIMS(S) (if applicable) + \$360.00						\$0.00		
TOTAL OF ABOVE CALCULATIONS =						= \$0.00		
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.						\$		
SUBTOTAL =						= \$1000.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).						\$		
TOTAL NATIONAL FEE =						= \$1000.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property						\$ 40.00		
TOTAL FEES ENCLOSED =						= \$1040.00		
_						Amount to be refunded:	s	
						Amount to charged:	s	
	Please A duplica The Commoverpaym Fees are to informati Where an a	e char te cop mission ent to o be co ion sh pprop	amount of \$\sum_{\text{to}} to cover the aborge my Deposit Account No. by of this sheet is enclosed. Oner is hereby authorized to concert to Deposition Account No. 01: Charged to a credit card. Wallould not be included on this right time limit under 37 CF ication to pending status.	01-2135 in the amount of § harge any additional fees wl -2135. A duplicate copy of the RNING: Information on the sorm. Provide credit card	nich may be required, or this sheet is enclosed. is form may become pub information and authori	lic. Credit card	ust be filed and	
41.00						W. J. H.		
SEND ALL CORRESPONDENCE TO: CUSTOMER NO. 020457 ANTONELLI, TERRY, STOUT & KRAUS, LLP 1300 North 17th Street, Suite 1800						ire im 1. Solomon		
Arlington, VA 22209					Name 28,565			
Customer No. 020457 Registration						ation No.		

RM PTO-1390 (REV 10-2003) page 2 of 2



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Youichi KYUUKO et al.

Application No.:

Not Yet Assigned

Filed:

December 5, 2005

For:

METHOD FOR PRODUCING OPTICALLY ACTIVE CHROMAN-CARBOXYLATE

REQUEST FOR EXAMINATION AND SEARCH

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 December 5, 2005

Sir:

Applicants respectfully request that a search and examination be conducted in the above-identified application. The necessary search fee and examination fee are enclosed herewith.

Please charge any shortage in the fees due in connection with the filing of this paper, including excess claim fees, to Deposit Account No. 01-2135 (referencing case No. 396.45660X00), and please credit any excess fees to such deposit account.

Respectfully submitted

William I. Solomon

Registration No. 28,565

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